

95th Meeting of The Performance Measurement Forum

Speaker Biographies



Tricia Bailey, CIPM

currently serves as Lead Analyst – GIPS, Investment Performance & Analysis at T. Rowe Price. Prior to T. Rowe Price, Tricia served nearly 13 years as Manager – Performance Analysis at Waddell & Reed/Ivy Investments. She has been involved with Investment Performance Analysis for over 20 years.

Patrick Chism

serves on Vigilant's Management Committee and is responsible for Vigilant's Broker Dealer Solutions. Patrick works closely with the team of CCOs and Fin-Ops who handle Vigilant's clients; that team has over 100 years of Financial Services experience. Additionally, Patrick assists with the Broker Dealer Registration division within Vigilant. Patrick also serves as CCO Vigilant's Broker Dealer, among others, along with RIAs, and Mutual Funds. Patrick acquired twenty years of dynamic and diverse experience in the brokerage industry from various firms, including Prudential Securities, Merrill Lynch and UBS Financial Services. Patrick's responsibilities included compliance functions for a \$24-billion dollar complex with 70 plus financial advisors. His duties included oversight of all client accounts and operations. Furthermore, he was responsible for oversight of trading and marketing. Patrick also conducted specialized reviews for complex products and Financial Adviser activity. Most recently, Patrick was part of the Compliance Team at FS2 Capital Partners, LLC, the broker-dealer of Franklin Square Capital Partners and Franklin Square's Advisers. Patrick holds numerous FINRA Licenses: Series 24 (Securities Principal), Series 7 (General Securities Representative), Series 9 and 10 (General Securities Sales Supervisor), Series 66 (Uniform Combined State Law), Series 99 (Operations Professional), and life and health insurance licenses. Patrick earned his bachelor's degree from Texas A&M University and is involved with the local chapter of the Association of Former Students.



Kathleen Seagle, CIPM

is a Senior Verifier with 15 years of industry experience. Prior to joining The Spaulding Group, Kathleen developed a portfolio analytics function for River Road Asset Management, an institutional investment management firm and affiliate of Affiliated Managers Group, Inc. She had the opportunity to join River Road shortly after its inception, where she established and supported its attribution, performance, ex-post risk, client, and executive reporting functions. She was responsible for process implementation, data integrity, projects, and chaired their GIPS Working Group. Kathleen was an advisory board member for The Journal of Performance Measurement, a member of the Performance Measurement Forum, and a past volunteer for the CFA Institute supporting the CIPM Program. Kathleen attended Purdue University and enjoys hiking and art.



David D. Spaulding, DPS, CIPM

has been a thought leader in our industry for more than 30 years, and has an in-depth experience with every major asset class and just about any circumstance. He is arguably the #1 authority on performance measurement. Dave has over 40 years' experience in management and technology, including over 30 years in the financial sector. He has conducted training classes for our clients, the CFA Institute, as well as local societies for 20 years. He has written four books, and been co-author and/or editor of five more. He has also written numerous articles for various industry publications. Dave has served on a variety of industry committees and working groups. He earned a BA in Mathematics from Temple University, an MS in Systems Management from the University of Southern California, an MBA in Finance from the University of Baltimore, and a doctorate in Finance and International Economics from Pace University.



Gustavo Bernal Torres

is the Head of ESG Integration at Invaris Consulting, where he helps asset owners and asset managers include ESG factors in investment analysis, decision-making, and operational processes. Prior to Invaris, he founded TerraBlanca Impact Capital, an impact investment fund in Latam and was the inaugural recipient of The Rockefeller Foundation & Kellogg School of Management Sustainable Investing Fellowship. Gustavo has also worked as an investment management consultant in Singapore and as a fixed income portfolio manager. He holds an MBA from the National University of Singapore (NUS) and a BBA from the National University of Colombia.